



Approved for use through 03/31/2007. OMB 5651-0031  
 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
 Under the Patent and Trademark Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>  <small>(to be used for all correspondence after initial filing)</small>	Application Number	10/514,429
	Filing Date	July 12, 2005
	First Named Inventor	Robert DWILINSKI
	Art Unit	2879
	Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	Attorney Docket Number	204552033800

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Receipt Postcard Copy of U.S. Office Action (6 pages) Copy of Japanese Office Action (3 pages) Copy of IDS Citation (1 ref)
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	MORRISON & FOERSTER LLP		
Signature			
Printed name	Raj S. Dave		
Date	February 8, 2007	Reg. No.	42,465

05/29/2008

/Michael Logie/

va-192739

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.L./



Patent  
Docket No. 204552033800

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Robert DWILINSKI et al.

Serial No.: 10/514,429

Filing Date: July 12, 2005

For: PHOSPHOR SINGLE CRYSTAL  
SUBSTRATE AND METHOD FOR  
PREPARING THE SAME, AND  
NITRIDE SEMICONDUCTOR  
COMPONENT USING THE SAME

Examiner: Not Yet Assigned

Group Art Unit: 2879

**SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. Copies of the foreign document and non-patent literature are submitted herewith. The Examiner is requested to make these documents of record.

The first document listed on the attached Form PTO/SB/08a/b (Cite No. 1) was cited in an Office Action (copy attached) mailed on November 14, 2006, directed to related U.S. application no. 10/682,891, and has not been previously cited. The second document listed on the attached Form PTO/SB/08a/b (Cite No. 2) was cited in a Japanese Office Action (copy attached) dated December 26, 2006, directed to related JP application no. 2003-544869, and has not been previously

va-192736

05/29/2008

/Michael Logie/

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.L./

U.S. Application No. 10/514,429

Atty. Docket No. 204552033800

cited. Applicants would like to note to the Examiner that the Ketchum et al. and Dwilinski et al. non-patent literature references cited in the attached Japanese Office Action were previously cited in an Information Disclosure Statement filed on June 9, 2004 and, therefore, are not submitted herewith. Similarly, Applicants would like to note to the Examiner that JP 2000-82867, also cited in the attached Japanese Office Action, was previously cited in a Supplemental Information Disclosure Statement filed on August 29, 2006 and, therefore, is not submitted herewith. A certification under 37 C.F.R. § 1.97(e)(2) follows:

I hereby certify that no item of information was first cited in a communication from a foreign patent office in a counterpart foreign application or, to the best of my knowledge after making a reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement.

This Supplemental Information Disclosure Statement is submitted before first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance. A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly, no fee is believed to be due.

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

05/29/2008

va-192736

/Michael Logie/

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.L./

U.S. Application No. 10/514,429

Atty. Docket No. 204552033800

In the unlikely event that the transmittal form is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petition and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing **(204552033800)**.

Dated: February 8, 2007

Respectfully submitted,

By 

Raj S. Dave

Registration No. 42,465

MORRISON &amp; FOERSTER LLP

1650 Tysons Blvd, Suite 300

McLean, Virginia 22102

(703) 760-7755

/Michael Logie/

05/29/2008

va-192736

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.L./



ALTERNATIVE TO PTO/SB/05A/B (09/06)

Substitute for form 1449/PTO			<b>Complete if Known</b>		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)			Application Number	10/514,429	
			Filing Date	July 12, 2005	
			First Named Inventor	Robert DWILINSKI	
			Art Unit	2879	
			Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	204552033800

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/M.L.	1.	US-6,051,145	04/2000	Griffith et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
/M.L.	2.	JP-09-293897	11-11-1997	Sanyo Electric Co., Ltd.		√

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>
/M.L.	3.	U.S. Office Action dated November 14, 2006, directed at counterpart US application no. 10/682,891.			
/M.L.	4.	Japanese Office Action dated December 26, 2006, directed at counterpart JP application no. 2003-544869.			√

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	/Michael Logie/	Date Considered	05/29/2008
--------------------	-----------------	-----------------	------------

va-182738

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.L./